

4 Duplicate Statement Request

The duplicate statement recipient is anyone you want to receive copies of your Account statements, such as a financial advisor or relative. This person is **not** authorized to access or make any changes to your Account.

- Check one.**
- Delete Current Recipient
 - Update Current Recipient Information
 - Add as First-time or Replacement Recipient

Name (First, Middle, Last, Suffix)

Address (line 1)

Address (line 2)

City

State

Zip or Postal Code

5 Investment Options

Allocation of Current Assets: Use the Current Assets column to change Investment Options for funds currently in your Account. Changes made in this column apply only to current funds; *future* Contributions will continue to be allocated in the manner that you indicated previously unless you also enter information in the corresponding Future Contributions column.

Allocation of Future Contributions: Use the *Future* Contributions column to change Investment Options for future Contributions, including automatic Contributions. Allocation of future Contributions may be changed at any time.

Select one or more of the Investment Options below. Refer to the Program Description for detailed information on each.

Use only whole numbers, not fractions, for your Contribution percentages. Your total investment must equal 100%.

Investment Options

**Allocations
of Current Assets**

**Allocations of
Future Contributions**

Age-Based Options

CollegeHorizonFunds (Managed by RiverSource Investments, LLC).
Program automatically places assets into the CollegeHorizonFunds Portfolio corresponding to your Beneficiary's Years to Enrollment and migrates annually based on progression toward college start date.

V Fund (Mutual funds from The Vanguard Group, Inc.).
Select **one** Track based on your risk tolerance; the Program places into the appropriate age range automatically and migrates based on Beneficiary's birth date.

- Check one:**
- Aggressive Track
 - Moderate Track
 - Conservative Track

	Allocations of Current Assets	Allocations of Future Contributions
Individual Options		
Aggressive Stock Fund (Managed by NCM Capital Management Group, Inc.).	□ □ □ %	□ □ □ %
Balanced Fund (Managed by Wachovia Bank, N.A., through Evergreen Investment Management Company, LLC).	□ □ □ %	□ □ □ %
Dependable Income Fund (Managed by North Carolina State Treasurer).	□ □ □ %	□ □ □ %
V Fund 1 (Vanguard® LifeStrategy Growth Fund).	□ □ □ %	□ □ □ %
V Fund 2 (Vanguard LifeStrategy Moderate Growth Fund).	□ □ □ %	□ □ □ %
V Fund 3 (Vanguard LifeStrategy Conservative Growth Fund).	□ □ □ %	□ □ □ %
V Fund 4 (Vanguard LifeStrategy Income Fund).	□ □ □ %	□ □ □ %
V Fund 5 (Vanguard Prime Money Market Fund).	□ □ □ %	□ □ □ %
V Fund 6 (Vanguard Total Stock Market Index Fund).	□ □ □ %	□ □ □ %
V Fund 7 (Vanguard Total International Stock Index Fund).	□ □ □ %	□ □ □ %
V Fund 8 (Vanguard Total Bond Market Index Fund).	□ □ □ %	□ □ □ %
TOTAL	1 0 0 %	1 0 0 %

6 Contribution Methods

A. Automatic Bank Draft

Complete this section to start, stop, or change your regular electronic Contributions from your financial institution account to your 529 Account. It may take up to 10 days to set up an automatic bank draft with your bank. To change the Investment Options to which your Contributions are allocated, use **Section 5**.

- Check one.** Start new regular automatic Contributions. *(Complete Section B.)*
 Stop current automatic Contributions. *(Your request will be processed immediately; however, it may take one draft cycle to go into effect.)*
 Change current instructions for automatic Contributions. *(Complete Section B.)*

Note: If a Contribution is not honored by your financial institution, you may be assessed a transaction fee.

Amount (\$25 minimum) \$ □ □ □ , □ □ □ . □ □

Frequency

Check one and include the day(s) on which you want funds debited.

Note: Unless you select a different schedule below, your bank account will be debited on the 20th of each month. You may select a debit date that falls within the first 28 days of the month. If a debit date is scheduled for a weekend or bank holiday, the debit will occur on the next business day.

- Once a month on the □ □ day of the month
 Twice a month on the □ □ and □ □ days of the month

